

520 Rec'd PCT/PTO 10 DEC 1999

FORM PTO-1390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

UNMC 63117B

U.S. APPLICATION NO. 09/445653
not yet assigned

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE
11 June 1998

PCT/US 98/12138

PRIORITY DATE CLAIMED
13 June 1997

TITLE OF INVENTION COMPOSITIONS FOR DELIVERY OF BIOLOGICAL AGENTS AND METHODS FOR
THE PREPARATION THEREOF

APPLICANT(S) FOR DO/EO/US

KABANOV, Alexander V.; EISENBERG, Adi; KABANOV, Victor A.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information:
Small entity statement
Copy of form PCT/IB/308

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| | | | | | |
|--|--|--|--|--|--|
| U.S. APPLICATION NO. (if known) 09/445653 not yet assigned | | INTERNATIONAL APPLICATION NO. PCT/US98/12138 | | ATTORNEY'S DOCKET NUMBER UNMC 63117B | |
|--|--|--|--|--|--|

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|---|--------------|--------------|------|-------------------------------------|--|
| 17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO..... International preliminary examination fee paid to USPTO (37 CFR 1.482) No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | CALCULATIONS PTO USE ONLY | |
| | | | | \$ 96.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ 130.00 | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 18 -20 - | 0 | X 78 | \$ 0 | |
| Independent Claims | 1 -3 - | 0 | X 18 | \$ 0 | |
| Multiple dependent claims(s) (if applicable) | | | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 226.00 | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ 113.00 | |
| SUBTOTAL = | | | | \$ 113.00 | |
| Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ 0 | |
| TOTAL NATIONAL FEE = | | | | \$ 113.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ 0 | |
| TOTAL FEES ENCLOSED = | | | | \$ 113.00 | |
| | | | | Amount to be: | |
| | | | | refunded \$ | |
| | | | | charged \$ | |

a. ☒ A check in the amount of \$ 113.00 to cover the above fees is enclosed.


b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 04-1406. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Kathleen D. Rigaut, Ph.D., J.D.
 DANN, DORFMAN, HERRELL AND SKILLMAN
 1601 Market Street, Suite 720
 Philadelphia, Pennsylvania 19103


 SIGNATURE
 Kathleen D. Rigaut, Ph.D., J.D.
 NAME
 43,047
 REGISTRATION NUMBER

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10 DEC 1999

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COMMISSIONER OF PATENTS
AND TRADEMARKS
Washington, DC 20231

BOX PCT

Date of Mailing
December 10, 1999

Applicant's or Agent's Reference
UNMC 63117B

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

Int'l. Appln. No.
PCT GB98/12138

Int'l. Filing Date
11 June 1998

Applicant (name)
UNIVERSITY OF NEBRASKA BOARD OF REGENTS


**TRANSMITTAL OF LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

**CERTIFICATE OF MAILING
BY EXPRESS MAIL UNDER 37 CFR §1.10**

NUMBER OF EXPRESS MAIL MAILING LABEL EL421454802US
DATE OF DEPOSIT WITH POSTAL SERVICE December 10, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231.

Jane C. Hare
Typed Name of Person
Mailing Paper or Fee


Signature of Person Mailing
Paper or Fee